

# NEW UNITED STATES UTILITY PATENT APPLICATION TRANSMITTAL

Atty. Docket No.: 11111/2002

## CERTIFICATE OF MAILING UNDER 37 CFR 1.10

I hereby certify that the paper (and any paper or fee referred to as being enclosed) is being deposited with the United States Postal Service using Express Mail to Addressee Service, under 37 C.F.R. Section 1.10, **Express Mail Label No. EL928101128US** on this date, **August 20, 2003**, postage prepaid, in an envelope addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Kathleen M. Williams

Name of Person Mailing Paper



Signature of Person Mailing Paper

16018 U.S. PTO  
10/645000



Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

### Enclosed herewith is a new patent application of:

First Named Inventor (or application identifier): Andrew H. Segal

Title of Invention: Lectin Compositions and Methods of Modulating an Immune Response to an Antigen

- Type: ☒ New  
☐ Continuation of: \_\_\_\_\_  
☐ Continuation-in-Part of: \_\_\_\_\_  
☐ Divisional of: \_\_\_\_\_  
 which is hereby incorporated by reference in its entirety into the application filed herewith;

### and includes the following papers:

1. ☒ Specification 982 pages (including specification, claims, abstract)/78 claims (1 independent)
2. ☒ Declaration/Power of Attorney is:  
☐ Executed ☐ Unexecuted ☐ Executed copy from Parent Application  
☒ NOT included, but deferred under 37 C.F.R. § 1.53(f).
3. ☐ \_\_\_\_\_ Distinct sheets of ☐ Formal ☒ Informal Drawings
4. ☒ Application Data Sheet
5. ☐ Preliminary Amendment.
6. ☐ Information Disclosure Statement  
☐ Form 1449 ☐ A copy of each cited prior art reference
7. ☐ Assignment with Recordation Cover Sheet.
8. ☒ Priority is hereby claimed under 35 U.S.C. § 119 based upon the following application(s):

Country	Application Number	Date of Filing (day, month, year)
United States	60/404,823	August 20, 2002
United States	60/487,407	July 15, 2003

9. ☐ Priority is hereby claimed under 35 U.S.C. § 120 based upon the following application(s):

Application Number	Date of Filing (day, month, year)

10. ☐ Priority is hereby claimed under 35 U.S.C. § 121 based upon the following application(s):

08/20/03  
16638 U.S. PTO

# NEW UNITED STATES UTILITY PATENT APPLICATION TRANSMITTAL

Atty. Docket No.: 11111/2002

Application Number	Date of Filing (day, month, year)

The above applications from which priority is claimed are hereby incorporated in their entirety into the application filed herewith.

11. ☐ Priority document(s).
12. ☐ Microfiche Computer Program (Appendix).
13. ☐ Nucleotide and/or Amino Acid Sequence Submission.  
☐ Computer Readable Copy.  
☐ Paper Copy (identical to computer copy).  
☐ Statement verifying identity of above copies.
14. ☐ Request for Non-Publication and Certification under 35 USC 122 (b)(2)(B)(i)
15. ☒ Statement of Small Entity Status, Pursuant to 37 C.F.R. §1.27.
16. Calculation of Fees:

FEES FOR	TOTAL CLAIMS	EXCESS CLAIMS	FEE	AMOUNT DUE
Basic Filing Fee (37 C.F.R. § 1.16(a))				\$750.00
Total Claims in <b>Excess of 20</b> (37 C.F.R. § 1.16(c))	78	58	\$18.00	1,044.00
Independent Claims in <b>Excess of 3</b> (37 C.F.R. § 1.16(b))	1	0	\$84.00	0.00
Multiple Dependent Claims (37 C.F.R. § 1.16(d))	NO		\$280.00	0.00
Subtotal - Filing Fee Due				1,794.00
Reduction by 50%, if Small Entity (37 C.F.R. §§ 1.9, 1.27, 1.28)	MULTIPLY BY 0.50			897.00
<b>TOTAL FILING FEE DUE</b>				897.00
Assignment Recordation Fee (if applicable) (37 C.F.R. § 1.21(h))	0		\$40.00	0.00
<b>GRAND TOTAL DUE</b>				<b>897.00</b>

17. PAYMENT is:  
☒ included in the amount of \$897.00 by our enclosed check. The Commissioner is hereby authorized to charge any deficiencies in the fees to Deposit Account 16-0085, Ref. 11111/2002.  
☐ not included, but deferred under 37 C.F.R. § 1.53(f).

18. All correspondence for the attached application should be directed to:

**Kathleen M. Williams**  
**Customer Number 29933**  
111 Huntington Avenue  
Boston, MA 02199-7613

19. Other: \_\_\_\_\_

Respectfully submitted,

Date: August 20, 2003

By: \_\_\_\_\_

Name: Kathleen M. Williams  
Registration No.: 34,380

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:	Segal, et al.	Examiner	Not Yet Assigned
Serial No.:	Not Yet Assigned		
Filed:	August 20, 2003	Group Art Unit:	Not Yet Assigned
Entitled:	LECTIN COMPOSITIONS AND METHODS FOR MODULATING AN IMMUNE RESPONSE TO AN ANTIGEN	Conf. No.:	Not Yet Assigned

**CERTIFICATE OF MAILING UNDER 37 CFR 1.10**

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Kathleen M. Williams

Name of Person Mailing Paper

Signature of Person Mailing Paper

**Mail Stop Patent Application**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**TRANSMITTAL LETTER**

Enclosed for filing in the above-identified patent application, please find the following documents:

1. New Patent Application Transmittal;
2. Patent Application Specification, (982 pages);
3. Application Data Sheet;
5. Check in the amount of \$897.00 for the requisite filing fee; and
6. Return Post Card.

Pursuant to 37 C.F.R. § 1.27, Applicant claims small entity status.

The Commissioner for Patents is hereby authorized to charge any additional fees or credit any overpayment in the total fees to Deposit Account No. 16-0085, Reference No. 11111/2002. A duplicate of this transmittal letter is enclosed for this purpose.

Date: August 20, 2003

Respectfully submitted,

Name: Kathleen M. Williams  
Registration No.: 34,380  
Customer No.: 29933  
Palmer & Dodge LLP  
111 Huntington Avenue  
Boston, MA 02199-7613  
Tel: 617-239-0100